

**PATENT APPLICATION**  
**A METHOD AND SYSTEM FOR DATA RATING FOR WIRELESS**  
**DEVICES**

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## A METHOD AND SYSTEM FOR DATA RATING FOR WIRELESS DEVICES

### CROSS-REFERENCES TO RELATED APPLICATIONS

5           [01]    This is a continuation-in-part application from co-pending,  
commonly assigned U.S. Patent Application Serial No. 09/911,868 filed on July 23, 2001,  
entitled "Method and System for Data Rating for Wireless Devices," by Watler et al.,  
which claims the benefit of priority under 35 U.S.C. § 119 from commonly assigned U.S.  
Provisional Patent Application Serial No. 60/220,029 filed on July 21, 2000 and U.S.  
10   Provisional Patent Application Serial No. 60/220,233 filed on July 21, 2000, the  
disclosures of which are hereby incorporated by reference in their entirety for all  
purposes.

### BACKGROUND OF THE INVENTION

15           [02]    The present invention generally relates to a method and system for  
data rating for wireless devices, and in particular, to mobile or cellular phones and radio  
phones that are capable of moving from one transmission station to another in a  
communication network.

              [03]    More specifically, the method and system of the present invention  
20   allows rating of a data communication session to be performed within the wireless device,  
such as a mobile phone. As a result, by accomplishing the rating within each mobile  
phone, the communication traffic between a mobile phone and the service provider is  
reduced thereby expanding the traffic handling capacity of the service provider. A system  
for rating and billing a voice communication session within a mobile phone is described  
25   in U.S. Patent Nos. 5,577,100 (the '100 Patent), and 6,198,915 B1 (the '915 Patent).

              [04]    Advanced data communication services in the mobile telephony  
environment are becoming a reality. Short Message Service (SMS), Unstructured  
Supplementary Service Data (USSD), General Packet Radio Service (GPRS), Enhanced  
Data for GSM Evolution (EDGE), 1x, 1xRTT, short range radio frequency protocols  
30   (e.g., IEEE 802.11.b) etc. are all examples of technologies that may be used to implement  
wireless data communication.

              [05]    Wireless device users are demanding improved data services, and  
data carriers are hurriedly trying to develop solutions that would satisfy the demands of

such users. However, traditional network-based billing systems are ill equipped to deal with some data carriers' current business practices or methods for billing for data services other than monthly subscription.

[06] For example, monitoring and keeping track of data packets accurately for billing purposes at the network level is not a simple task, especially when such monitoring must be done in real time as required by prepaid and limited use services. When data packets are sent to a destination, they are usually routed via several nodes and networks before they reach their final destination. Traversing several networks presents a problem from a monitoring perspective because different networks and their respective equipment very often handle data packets differently.

[07] Furthermore, typical network-based billing systems are generally equipped to deal with call detail records (CDRs). Such billing systems are usually dimensioned to be able to accommodate 3 or 4 CDRs per user per day. With the use of packet data, each packet generates its own detail record, referred to here as usage detail records (UDRs). A UDR is necessary because in packet switching communications each packet is transmitted to its destination separately via different routing paths. As a result, a UDR is needed for each packet in order to keep track of the status of such packet. The proliferation of a large amount of UDRs presents a capacity problem for many existing billing systems. For instance, a 30-minute session of GPRS may generate 10,000 UDRs or more at several serving nodes, an amount of UDRs which existing billing systems are not equipped to handle and for which purpose it may not be cost effective to upgrade.

[08] Moreover, there is an additional challenge to billing data transmissions, particularly for GPRS. Most of the network equipment required to enable and implement GPRS reside at a base station controller (BSC), while the billing systems reside at a mobile switching center (MSC). The billing systems, accustomed to connecting to one or several MSCs, must now accommodate potentially hundreds of BSCs.

[09] In the packet data environment, the network on many occasions may need to resend the same packet more than once, and possibly through alternate transmission paths. Since multiple UDRs may be generated for the same packet, network-based billing systems can only generate accurate billing on a per packet basis by gathering all of the UDR's from all of the serving nodes and reconciling them to determine successful delivery. Therefore, it would be desirable to provide a cost effective

method and system that is capable of rating packet data accurately without the complications and capacity burden inherent in traditional network-based billing systems.

### SUMMARY OF THE INVENTION

[10] A method and system for rating a data communication session  
5 between a network and a wireless device is disclosed. The rating of the data communication session is accomplished by a data rating application that resides in the wireless device, avoiding the complications and capacity burden inherent in traditional network-based billing systems. In an exemplary embodiment, the method uses a number of factors to determine how to rate a data communication session, i.e., the data  
10 communication session is rated based on one of a number of rating methods. Examples of such factors include: the application on the wireless devices using the data, data utilization, the source of the data being transmitted to the wireless device, the class of service, the quality of service and transmission efficiency. Typically, the rating method selected includes both how to meter the data and determine the rate to be applied to each  
15 metered increment. Examples of methods to meter the data include time (i.e. duration of the data communication session) and volume (e.g. kilobytes or number of packets). In an exemplary embodiment, the method monitors a series of events, namely, a setup event, a begin event and an end event, which take place during a data communication session. By monitoring such events, the data rating application is then able to determine when to  
20 evaluate the factors needed to determine how to rate the data communication session as well as, when to begin, and when to end, metering the data communication session. The data rating application is then able to apply the applicable rate to the metered data.

[11] According to an exemplary embodiment of the present invention, a system for rating a data communication session is provided. The system comprises: a  
25 wireless device capable of communicating with a network to establish the data communication session; a data rating application residing on the wireless device; wherein the data rating application is configured to detect a number of factors and/or events which take place during the course of conducting the data communication session between the network and the wireless device; wherein the data rating application is further configured  
30 to initiate one of a number of rating methods to rate the data communication session based on the detected factors and/or events.

[12] According to another exemplary embodiment of the present invention, a method for rating a data communication session between a wireless device

and a network is provided. The method comprises: installing a data rating application on the wireless device; causing the data rating application to detect a number of factors and/or events which take place during the course of conducting the data communication session between the wireless device and the network; upon detecting one or more of the factors and/or events, causing the data rating application to initiate one of a number of rating methods; upon initiating one of the number of rating method, causing the data rating application to calculate charges for the data communication session based on the initiated rating method.

[13] Reference to the remaining portions of the specification, including the drawings and claims, will realize other features and advantages of the present invention. Further features and advantages of the present invention, as well as the structure and operation of various embodiments of the present invention, are described in detail below with respect to accompanying drawings, like reference numbers indicate identical or functionally similar elements.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

[14] Fig. 1 is a simplified schematic diagram illustrating a first wireless communication arrangement which can be used by one exemplary embodiment of the present invention;

[15] Fig. 2 is a simplified schematic diagram illustrating a second wireless communication arrangement which can be used by a second exemplary embodiment of the present invention; and

[16] Fig. 3 is a simplified schematic diagram illustrating the general sequence of communication between a network and a wireless device in accordance with the present invention.

#### **DETAILED DESCRIPTION OF THE INVENTION**

[17] Fig. 1 is a simplified schematic diagram illustrating a first wireless communication arrangement which can be used by an exemplary embodiment of the present invention. A network 10 having a number of applications (not shown) connected thereto communicates with a wireless device 14, such as a cellular phone, via a transmission station 12. More specifically, data from the applications is passed to the network 10 that, in turn, relays it to the transmission station 12 for transmission to the wireless device 14. Data may be transmitted between the network 10 and the wireless

device 14 using data packets. That is, data to be transmitted is broken down into data packets and each data packet is then individually transmitted by the network 10 to the wireless device 14 and reassembled in the wireless device 14. The transmission station 12 uses over-the-air communications to communicate with the wireless device 14. Over-the-air communication protocols that can be used to implement wireless communications between the wireless device 14 and the network 10 include, for example, GSM, CDMA, TDMA, UMTS, etc. Furthermore, network data communication protocols that can be used to implement data communication between the wireless device 14 and the network 10 include SMS, USSD, GPRS, EDGE, UMTS, 1x, and 1xRTT. A person of ordinary skill in the art will know of other ways and methods to implement over-the-air communications.

[18] Fig. 2 illustrates a second wireless communication arrangement which can be used by another exemplary embodiment of the present invention. Referring to Fig. 2, the network 10 is connected to a wireless router 20 via a physical connection, such as a telephone line or cable. The wireless router 20 acting as a communication relay allows one or more wireless devices 14 to communicate with the network 10. Communications between the router 20 and the wireless device 14 are achieved using wireless communication protocols. A person of ordinary skill in the art will know of various wireless communication protocols which can be used to implement communications between the router 20 and the wireless device 14. This second wireless communication arrangement can be used in a number of ways. For example, one situation would be a router installed in a home. The router would communicate with various appliances or devices in the home and deliver many forms of voice and/or data services to the home. For instance, programming might be delivered to the television; phone calls might be delivered to the phone; data services might be delivered to the home computer, etc. These services might have their respective rating requirements that could be handled by the present invention as further described below. Another situation would be a router installed in a public facility such as an airport. A type of service, e.g., mobile data service, may be delivered to many users at the public facility. These users could have their respective unique rating requirements. Through their subscriber profiles or by menu selection, these users could receive (and be billed for) a service level with higher speed or accuracy of transmission. Similarly, as will be further described below, the present invention can be used to achieve the foregoing.

[19] According to an exemplary embodiment of the present invention, the wireless device 14 includes a data rating application that is capable of detecting factors and events in the wireless device 14 that relate to data transmissions to and from the wireless device 14. The factors available for rating the data communication session and the events provided by the network 10, and ultimately the wireless device 14, for detecting those factors are dependent upon the network 10 and the wireless device 14. The data rating application can reside on executable memory within the wireless device 14. Such memory can be one of any type such as ROM, EPROM, or flash memory. The data rating application can store dynamic data in RAM and utilize nonvolatile memory such as EEPROM or flash memory to store control data. Alternatively, the data rating application can reside on a smart card, such as a universal subscriber identification module (USIM) or Removable Universal Identity Module (R-UIM), which is attachable to the wireless device 14.

[20] In an alternative embodiment, the data rating application resides on the router 20. Depending on the system and/or design requirements, the location of the data rating application can vary. Based on the disclosure provided herein, a person of ordinary skill in the art will be able to implement the data rating application at one of many locations within a system depending on the system and/or design requirements.

[21] The data rating application uses a multi-factor rating algorithm to determine the method to be used to rate a data communication session. Examples of factors used in the multi-factor rating algorithm include: the application on the wireless devices 14 using the data, data utilization, the source of the data being transmitted to the wireless device 14, the class of service, and the quality of service. The absence of any factors (default methods), one of the factors, or combinations of the factors determine the method to be used to rate the data communication session.

[22] Typically, the rating method selected includes the method to be used to meter the data, as well as, the tariff or rate to be applied to each increment metered. Examples of methods used to meter the data include the duration of the data communication session and the volume of data transmitted or received during the data communication session. Examples of measures of data volume include kilobytes, number of packets, or some arbitrary denomination, such as 500 kilobytes or 500 packets.

[23] In an exemplary embodiment, a series of events, namely, a setup event, a begin event and an end event, which take place during a data communication session are monitored by the wireless device 14. More specifically, the monitoring of

such events is accomplished by the data rating application that resides in the wireless device 14. By monitoring such events, the data rating application is then able to determine when to evaluate the factors needed to determine how to rate the data communication session as well as, when to begin, and when to end, metering the data communication session. The data rating application is then able to apply the applicable rate to the metered data.

[24] In an exemplary embodiment, the data rating application functions in cooperation with, or is a part of, other applications residing on the wireless device 14. For example, the data rating application may be installed on a prepaid debit mobile phone as described in U.S. Patent No. 5,577,100 (the '100 Patent), which is incorporated herein by reference in its entirety. The data rating application when installed on such mobile phone works in conjunction with, or is a part of, the complex billing algorithm used to calculate the charge for a communication session to debit the internal prepaid account correctly and instantaneously. In the case of prepaid, or limited usage, phone applications, the data rating application preferably works with those applications in real time so that the remaining funds or usage can be instantaneously monitored.

[25] Alternatively, the data rating application may simply capture the rating information and forward such information to other applications. For example, the data rating application may forward the rating information with respect to data communication sessions from the wireless device 14 to other applications residing on the network 10 for further processing, such as billing, collection, and settlement.

[26] Furthermore, the data rating application of the present invention is highly scalable to handle any increase or decrease in data rating demand. Because the data rating application utilizes data processing resources within the wireless device 14, each additional user requiring data rating resources also brings a new wireless device 14 and thus the additional data processing resources needed by the user. Utilizing the data processing resources within the wireless device 14 also reduces the burden on the processing capacity of the network 10.

[27] Fig. 3 is a simplified schematic diagram illustrating the sequence of communication between the network 10 and the wireless device 14 in accordance with the present invention. When a connection between the network 10 and the wireless device 14 is to be established, a setup event is caused to occur. The setup event signifies that a connection is to be established between the network 10 and the wireless device 14. Upon detection of the setup event for a data communication session, the data rating



application determines the values of the factors in its multi-factor rating algorithm, so that it is ready to meter the data communication session upon the occurrence of a begin event. The begin event is typically an event which signifies that the connection between the network 10 and the wireless device 14 is established and that communication can now take place between the network 10 and the wireless device 14. When the connection between the network 10 and the wireless device 14 is to be terminated, an end event is caused to occur which typically signifies the termination of the connection between the network 10 and the wireless device 14.

[28] Depending on the particular situation, the setup event, the begin event and the end event can be originated by either the network 10 or the wireless device 14. It should be understood that the setup event, the begin event and the end event are described herein in a general manner. These events can be detected in various ways depending on the wireless device and the network data communication protocol being used. A person of ordinary skill in the art would know of other ways and methods to detect these events using various different wireless devices and network data communication protocols.

[29] A number of exemplary situations illustrating the sequence of events that take place between the network 10 and the wireless device 14 are provided below. For example, in one situation, if the wireless device 14 is to communicate with another wireless device (not shown), the wireless device 14 then first contacts the network 10 to establish a connection. Contacting the network 10 to establish the connection is the setup event. When the network 10 detects the setup event, the network 10 checks and allocates resources to establish the connection. The network 10 then sends an acknowledgment (ACK) to the wireless device 14 informing the wireless device 14 that a connection is now established. The ACK is the begin event. Upon receiving the ACK, the wireless device 14 is then able to transmit and receive data to and from the network 10. When the wireless device 14 wishes to conclude the data communication session with the network 10, the wireless device 14 then terminates the connection. Alternatively, the connection can also be terminated by the network 10. Terminating the connection is the end event.

[30] In another exemplary situation, if the network 10 wishes to establish a connection with the wireless device 14, for example, due to another wireless device (not shown) desiring to communicate with the wireless device 14, the network 10 checks and allocates resources to establish the connection and then contacts the wireless

device 14. Contacting the wireless device 14 to establish the connection is the setup event. When the wireless device 14 detects the setup event, the wireless device 14 sends back an acknowledgment (ACK) to the network 10 informing the network 10 that a connection is now established. The ACK is the begin event. Upon receiving the ACK,  
5 the network 10 and the wireless device 14 are then able to communicate with each other. Similarly, when the data communication session between the network 10 and the wireless device 14 is to be concluded, the connection can be terminated by either the network 10 or the wireless device 14. Terminating the connection is the end event.

[31] By monitoring the events, namely the setup event, the begin event,  
10 and the end event, the data rating application is then able to determine when to evaluate the factors needed to determine how to rate the data communication session as well as, when to begin, and when to end, metering the data communication session. The following are examples of metering methods that may be used by a rating method.

[32] According to an exemplary metering method, the data  
15 communication session between the network 10 and the wireless device 14 is metered by connection. Here, the data rating application only calculates a charge once per connection.

[33] In this exemplary mode of operation, when data rating application detects the begin event signifying that a connection to the network 10 has been  
20 established, the data rating application can calculate the charge for the connection at the rate determined by the multifactor rating algorithm. No further metering is necessary. The data rating application may function in cooperation with, or be a part of, other billing applications residing on the wireless device 14 or on the network 10. Depending upon the requirements of the other billing applications, the data rating application passes the  
25 calculated charge and other rating information to the other billing applications in real time or upon some other predetermined event. For example, using the prepaid debit mobile phone as described in the '100 Patent in conjunction with the data rating application, the data rating application captures and calculates the relevant rating information and cooperates, in real time, with the accounting application in the mobile phone to debit the  
30 internal prepaid account accordingly.

[34] According to a second exemplary metering method, the data communication session between the network 10 and the wireless device 14 is metered by time. Here, the data rating application calculates a charge for the data communication

session based on the length of time the wireless device 14 is connected to the network 10 exchanging data.

[35] Similarly, in this exemplary mode of operation, when data rating application detects the begin event signifying that a connection to the network 10 has been established, it begins timing the data communication session utilizing a timer residing on the wireless device 14. Alternatively, the timer may reside on the network. When the end event is detected by the data rating application, i.e. termination of the connection by either the network 10 or the wireless device 14, the data rating application stops the timer for that particular data communication session. The data rating application may function in cooperation with, or be a part of, other billing applications residing on the wireless device 14 or on the network 10. Depending upon the requirements of the other billing applications, the data rating application passes the calculated charge and other rating information to the other billing applications in real time or upon some other predetermined event.

[36] According to a third exemplary metering method, the data communication session between the network 10 and the wireless device 14 is metered by volume. Here, the data rating application calculates a charge for the data communication session based on the amount or volume of data downloaded to or uploaded from the wireless device 14 during the communication session. It is to be understood that the unit of measure for the amount of data may vary. For example, data volume can be calculated based on a per kilobyte basis or a per packet basis; billing can also be calculated based on any other arbitrary denomination such as a unit rate per 500 kilobytes or per 500 packets.

[37] In this exemplary mode of operation, when the data rating application detects the begin event, the data rating application begins to keep track of the amount of data downloaded to or uploaded from the wireless device 14 in accordance with the unit of measure required by the applicable rating method. Based on the multi-factor algorithm, the data rating application determines the unit of measure to be tracked and the charge per unit of measure. The ability of the data rating application to measure data and what units of measure are available is dependent on the capabilities of the wireless device 14 to measure data. When the end event is detected by the data rating application, i.e. termination of the connection by either the network 10 or the wireless device 14, the data rating application stops tracking the packets or kilobytes or other unit of measure for that particular data communication session. The data rating application may function in cooperation with, or be a part of, other billing applications residing on

the wireless device 14 or on the network 10. Depending upon the requirements of the other billing applications, the data rating application passes the calculated charge and other rating information to the other billing applications in real time or upon some other predetermined event.

5                   [38]   For example, if the data communication session is to be billed on a per packet basis, the data rating application keeps track of each downloaded/uploaded data packet and then calculates the cost of each data packet using information from a rating table which contains different rate information. If the data rating application is functioning in cooperation with, or as a part of, the accounting application in a prepaid  
10   debit mobile phone as described in the '100 Patent, the cost of each data packet is debited from the internal prepaid account in real time.

                  [39]   As mentioned above, the data rating application uses the multi-factor algorithm to determine how to rate a data communication session, i.e., how to meter the data and determine the rate to be applied to each metered increment. The  
15   absence of any factors (default methods), one of the factors, or combinations of the factors determine the method used to rate the data communication session. The following are examples of factors that may be used to select a rating method.

                  [40]   According to an exemplary rating method, a factor used to select a rating method is the application in the wireless device 14 that will be using the data from  
20   the data communication session between the network 10 and the wireless device 14. For instance, one type of rating method may apply to an e-mail application, and another type of rating method may apply to a music-downloading application. In this exemplary mode of operation, when the wireless device 14 initiates contact with the network 10 to establish a connection, the data rating application detects the setup event, i.e., the  
25   initiation of contact with the network 10, and determines which application is requesting the data communication session and uses this information in selecting a rating method.

                  [41]   According to a second exemplary rating method, a factor used to select a rating method is data utilization, or more specifically, the rating for data obtained during the data communication session between the network 10 and the wireless device  
30   14 is based on the wireless device 14 (or an application thereon) using the data (which itself may be an application). For example, if the data obtained by the wireless device 14 during a data communication session is a game application, when the game application is initiated or executed, the data rating application uses this information in selecting a rating method.

[42] According to a third exemplary rating method, a factor used to select a rating method is the source of the data transmitted during the data communication session between the network 10 and the wireless device 14. The source of data may be referred to as the originating site in the case of data being pushed to the wireless device. The source of data may also be referred to as the destination site in the case of data being pulled to the wireless by request of the user. For instance, one type of rating method may apply to an origination site that pushes flight update information to the wireless device. In this exemplary mode of operation, when the network 10 contacts the wireless device 14 to establish a connection, the data rating application detects the setup event, i.e., the initiation of contact with the network 10, and determines which site is initiating the data communication session and uses this information in selecting a rating method. In another example, another type of rating method may apply to a destination site such as Lexis/Nexis. In this exemplary mode of operation, when the wireless device 14 initiates contact with the network 10 to establish a connection, the data rating application detects the setup event, i.e., the initiation of contact with the network 10, and determines which site the user is attempting to reach and uses this information in selecting a rating method.

[43] According to a fourth exemplary rating method, a factor used to select a rating method is the type of data received by the wireless device 14 during the data communication session. A content or data provider may set different pricing levels for various types of data which such content provider makes available. The pricing information for various types of data from a content provider may be available to the data rating application. Such pricing information may be stored in a memory location in the wireless device 14. When the wireless device 14 receives the data, the data rating application then only needs to identify the type of data which is received and match it against the available pricing information. Information identifying the type of data received may be contained in a proprietary tag or other field embedded in the data. Alternatively, the content provider may include pricing information for each type of data in a proprietary tag or other field contained with the data. By obtaining the pricing information, the data rating application is then able to determine the charge for the data communication session based on the type(s) of data that are received.

[44] According to a fifth exemplary rating method, a factor used to select a rating method is the class of service used for the data communication session between the network 10 and the wireless device 14. Here, the data rating application bills the data communication session based on the type of connectivity a user chooses for the

wireless device 14 to transmit and receive data packets. Different types of connectivity include, for example, SMS, USSD, GPRS, etc. In this exemplary mode of operation, when the wireless device 14 initiates contact with the network 10 to establish a connection, the data rating application detects the setup event, i.e., the initiation of contact with the network 10. Furthermore, the user is given a choice to select, or may have previously selected, the class of service which is to be used by the wireless device 14 to transmit and receive data for the pending data communication session. The user may be able to select the class of service during a subscription initiation process when the user signs up for service with a service provider or via a user interface of the wireless device 14. The data rating application uses this information in selecting a rating method.

[45] According to a sixth exemplary rating method, a factor used to select a rating method is the quality of service used for the data communication session between the network 10 and the wireless device 14. Here, the data rating application bills the data communication session based on the quality of service a user chooses for the wireless device 14 to transmit and receive data packets. Different networks permit requests for connections to be given varying levels of quality of service, and based on such requests allocate greater network resources to serve such connections accordingly. In this exemplary mode of operation, when the wireless device 14 initiates contact with the network 10 to establish a connection, the data rating application detects the setup event, i.e., the initiation of contact with the network 10. Furthermore, the user is given a choice to select, or may have previously selected, the quality of service which is to be used by the wireless device 14 to transmit and receive data for the pending data communication session. The user may be able to select the quality of service during a subscription initiation process when the user signs up for service with a service provider or via a user interface of the wireless device 14. The data rating application uses this information in selecting a rating method.

[46] According to a seventh exemplary rating method, a factor used to select a rating method is data transmission efficiency for the data communication session between the network 10 and the wireless device 14. Here, the data rating application calculates charges for the data communication session based on the successful delivery and/or receipt of data packets by the wireless device 14. For example, at the end of a data communication session the data rating application may determine that one hundred (100) data packets were required to successfully deliver fifty (50) data packets of data. Based on this determination, the data rating application then works in conjunction with other

accounting applications to credit an account to reflect the poor quality of service received during the data communication session.

5       **[47]**   It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. All publications, patents, and patent applications cited herein are hereby incorporated by reference for all purposes in their entirety.